



**NOTIFICATION OF RESIGNATION OF SPONSORED INDIVIDUALS BY THE SPONSORING COMPANY**

Under the Investment and Securities Act 2007

**INSTRUCTIONS**

1. Application for TRANSFER by REGISTERED INDIVIDUALS must be filed with the Commission by SPONSORING FIRMS within 14 days from the date of resignation. Failure to comply will attract a late filing fee as prescribed by the rules and regulations of the Commission.
2. This form and all attachments added thereto must be type written or printed. Any form or attachment completed by any other means may be considered not properly filed.
3. All applicable questions must be answered. Failure to do so may cause delays in the processing of the application.
4. Documents in support of application as listed in the Rules and Regulations must accompany completed application forms.
5. Should any space provided be insufficient for your answer, a statement may be attached and marked as appendix cross referencing each statement to the item to which it pertains provided it is initialed by the applicant and the Commissioner for Oaths
6. Please attach applicable fees.

Name and Address of Applicant Company:-----  
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**OFFICER BEING REPLACED**

Name :-----  
(Surname) (Other Names)

Current Registration:-----

Period of Service in your Employment:-----  
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Reasons for Leaving:-----

Comment on Integrity:-----  
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**SUBSTITUTE OFFICER**

Name -----  
(Surname) (Other Names)

Registration sought-----

Period of Service in your Employment:-----

From SEC2/2B submitted: Yes-----No -----

Signature:-----

Designation-----

-----  
Name of Director Signature

-----  
Name of Company Secretary Signature

-----  
Affix Company Seal here

I -----Director/Secretary  
(Full Name)

----- of -----  
(Name of Applicant Company)

Hereby make Oath and state as follows:

- (1) that I have been duly authorized to sign this form on behalf of the Company;
- (2) that the statement of facts made and the information supplied on this form are to the best of my knowledge and belief true and correct.

\_\_\_\_\_  
Signature of Deponent

Sworn to at \_\_\_\_\_ Court this \_\_\_\_\_ day of \_\_\_\_\_

**BEFORE ME**

\_\_\_\_\_  
COMMISSIONER FOR OATHS

